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| FORM PTO-1449  | U.S. DEPARTMENT OF COMMERCE<br>PATENT AND TRADEMARK OFFICE | ATTORNEY DOCKET NO.<br>1460.1007               | APPLICATION NO. |
| LIST OF REFERENCES CITED BY APPLICANT<br><br>(Use several sheets if necessary) |  | FIRST NAMED INVENTOR<br>Takeshi HOSHIDA et al. |                 |
|  |  | FILING DATE<br>August 10, 2000                 | GROUP ART UNIT  |

## U.S. PATENT DOCUMENTS

| *EXAMINER<br>INITIAL |    | DOCUMENT<br>NO. | DATE | NAME | CLASS | SUB-<br>CLASS | FILING<br>DATE |
|----------------------|----|-----------------|------|------|-------|---------------|----------------|
|                      | AA |                 |      |      |       |               |                |
|                      | AB |                 |      |      |       |               |                |
|                      | AC |                 |      |      |       |               |                |
|                      | AD |                 |      |      |       |               |                |
|                      | AE |                 |      |      |       |               |                |
|                      | AF |                 |      |      |       |               |                |

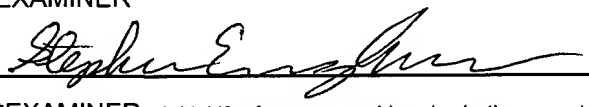
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## FOREIGN PATENT DOCUMENTS

|  |    | DOCUMENT<br>NO. | DATE | COUNTRY | CLASS | SUB-<br>CLASS | TRANSLATION<br>YES NO |
|--|----|-----------------|------|---------|-------|---------------|-----------------------|
|  | AG |                 |      |         |       |               |                       |
|  | AH |                 |      |         |       |               |                       |
|  | AI |                 |      |         |       |               |                       |
|  | AJ |                 |      |         |       |               |                       |
|  | AK |                 |      |         |       |               |                       |
|  | AL |                 |      |         |       |               |                       |

## OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, Etc.)

|    |    |   |
|----|----|---|
| SC | AM | Patent Abstracts of Japan, of JP (A) No. Hei 10-229238 dated August 25, 1998.                             |
| SC | AN | Patent Abstracts of Japan, of JP (A) No. Hei 11-103287 dated April 13, 1999.                              |
| SC | AO | Kani, Jun-ichi et al., "Trinal-Wavelength Band WDM Transmission over Dispersion-Shifted Fiber", page 490. |

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|---|------------------------------|
| EXAMINER<br>   | DATE CONSIDERED<br>8/29/2001 |
| *EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. |                              |

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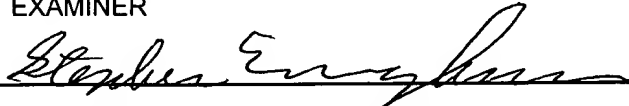
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|----------------------|----|-----------------|------|------|-------|---------------|----------------|
|                      | BA |                 |      |      |       |               |                |
|                      | BB |                 |      |      |       |               |                |
|                      | BC |                 |      |      |       |               |                |
|                      | BD |                 |      |      |       |               |                |
|                      | BE |                 |      |      |       |               |                |
|                      | BF |                 |      |      |       |               |                |

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|--|----|-----------------|------|---------|-------|---------------|-----------------------|--|
|  | BG |                 |      |         |       |               |                       |  |
|  | BH |                 |      |         |       |               |                       |  |
|  | BI |                 |      |         |       |               |                       |  |
|  | BJ |                 |      |         |       |               |                       |  |
|  | BK |                 |      |         |       |               |                       |  |
|  | BL |                 |      |         |       |               |                       |  |

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| SC | BM | Sun, Y. et al., "A Gain-Flattened Ultra Wide Band EDFA for High Capacity WDM Optical Communications Systems", ECOC '98, September 20-24, 1998, Madrid, Spain, pages 53-55. |
| SC | BN | "Optical Fiber Communication Technology".  |
|    | BO |  |

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